

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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#3

Applicant(s): Parnell, Todd C.
Assignee: Trilogy Development Group, Inc.
Title: Hierarchical Interface To Attribute Based Database
Serial No.: 09/747,008 Filing Date: December 22, 2000
Examiner: Unassigned Group Art Unit: 2122
Docket No.: M-8696 US

Austin, Texas
April 11, 2001

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS –
FILING DATE GRANTED**

Dear Sir:

In response to the Notice to File Corrected Application Papers – Filing Date Granted mailed by the United States Patent and Trademark Office on February 13, 2001, Applicant submits ten (10) sheets of Substitute Drawings In Compliance With 37 CFR 1.84, consisting of Figures 1, 2, 3, 4, 5, 6 & 7A, 7B, 8, 9 & 10 and 11 in the above-named application. A copy of the Notice To File Corrected Application Papers - Filing Date Granted is also enclosed.

The United States Patent and Trademark Office is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

LAW OFFICES OF
SKJERVEN MORRILL
MacPHERSON LLP

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SAN JOSE, CA 95110
(408) 453-9200
FAX (408) 453-7979

Please telephone the undersigned at (512) 794-3600, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 11, 2001.

Rita M. Wisor
Attorney for Applicant(s)

4/11/01
Date of Signature

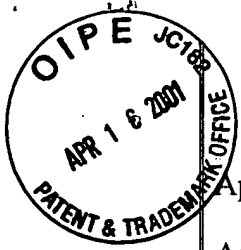
Respectfully submitted,

Rita M. Wisor

Rita M. Wisor
Attorney for Applicant
Reg. No. 41,382

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RECEIPT

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 13 2001

Technology Center 2100

Applicant(s): Parnell, Todd C.

Assignee: Trilogy Development Group, Inc.

Title: Hierarchical Interface To Attribute Based Database

Serial No.: 09/747,008

Filing Date: December 22, 2000

Examiner: Unassigned

Group Art Unit: 2122

Docket No.: M-8696 US

San Jose, California
April 11, 2001

Attn: Office of Initial Patent Examination's Customer Service Center
COMMISSIONER FOR PATENTS
Washington, D. C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Applicants hereby request the Commissioner For Patents to correct the Official Filing

Receipt for the above-identified patent application as follows:

- ☐ 1. Correct the Filing Date
- ☐ 2. Correct Inventor Name
- ☒ 3. Correct Title
- ☐ 4. Correct information referencing related case
- ☐ 5. Correct Attorney Docket Number
- ☐ 6. Other: _____

This Request is submitted for the following reasons:

Please correct the Title to read "Hierarchical Interface To Attribute Based Database"

Because this was not an error on the part of Applicant, Applicant believes no fee is
required.

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Attached is a copy of the Filing Receipt having the above errors and the changes noted thereon, as well as copies of the Declaration and Transmittal Letter. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

If this action does not lead to issuance of a corrected Official Filing Receipt as requested, please contact the undersigned at (512) 794-3600.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Attn: Office of Initial Patent Examination's Customer Service Center, Commissioner for Patents, Washington, D.C. 20231, on April 11, 2001.

Rita M. Wisor
Attorney for Applicant(s)

4/11/01
Date of Signature

Respectfully submitted,

Rita M. Wisor

Rita M. Wisor
Attorney for Applicant
Reg. No. 41,382

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AUG 13 2001

Technology Center 2100

UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/747,008	12/22/2000	2122	1604	M-8696 US	12	43	9

CONFIRMATION NO. 2943

FILING RECEIPT



OC000000005761401

Rita M. Wisor
 Attorney for Applicant
 SKJERVEN MORRILL MacPHERSON LLP
 25 Metro Drive, Suite 700
 San Jose, CA 95110-1349

Date Mailed: 02/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Todd C. Parnell, Austin, TX;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/13/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

Title

Hierarchical interface to attribute based database
 interface

Preliminary Class

706

Data entry by : SARWARI, HOMA

Team : OIPE

Date: 02/13/2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



SKJERVEN
MORRILL
MACPHERSON U.S.P.



Docket No.: M-8696 US

EL708268619US

December 22, 2000

Box Patent Application
Commissioner For Patents
Washington, D. C. 20231

RECEIVED
AUG 13 2001
Technology Center 2100

Enclosed herewith for filing is a patent application, as follows:

Inventor(s): Todd C. Parnell
Title: Hierarchical Interface to Attribute Based Database
X Return Receipt Postcard
X This Transmittal Letter (in duplicate)
25 page(s) Specification (not including claims)
9 page(s) Claims
1 page Abstract
12 Sheet(s) of Drawings
2 page(s) Declaration For Patent Application and Power of Attorney
1 Recordation Form Cover Sheet (in duplicate)
1 Assignment
1 page(s) PTO Form 1449 citing 2 references
☒ Copy(ies) of two Cited References Submitted
☒ Other: Information Disclosure Statement
1 Page(s) Non-Publication Request

CLAIMS AS FILED

For	Number <u>Filed</u>		Number <u>Extra</u>		Rate		Basic Fee
Total Claims	43	-20 =	23	x	\$ 18.00 =	\$	<u>710.00</u>
Independent Claims	9	-3 =	6	x	\$80 =	\$	480.00
<input type="checkbox"/>	Fee of _____ for the first filing of one or more multiple dependent claims per application						\$
<input type="checkbox"/>	Fee for Request for Extension of Time						\$

Please make the following charges to Deposit Account 19-2386:

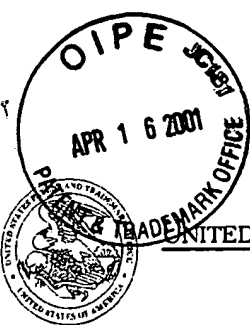
- ☒ Total fee for filing the patent application in the amount of \$ 1604.00
☒ The Commissioner is hereby authorized to charge any additional fees which may be
required, or credit any overpayment to Deposit Account 19-2386.

EXPRESS MAIL LABEL NO:

EL708268619US

Respectfully submitted,

Rita M. Wisor
Rita M. Wisor
Attorney for Applicant(s)
Reg. No. 41,382



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,008	12/22/2000	Todd C. Parnell	M-8696 US

CONFIRMATION NO. 2943

FORMALITIES LETTER



OC000000005761402

Rita M. Wisor
Attorney for Applicant
SKJERVEN MORRILL MacPHERSON LLP
25 Metro Drive, Suite 700
San Jose, CA 95110-1349

Date Mailed: 02/13/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FILE COPY

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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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10

Bib Data Sheet

CONFIRMATION NO. 2943

SERIAL NUMBER 09/747,008	FILING DATE 12/22/2000 RULE	CLASS 706	GROUP ART UNIT 212 212	ATTORNEY DOCKET NO. M-8696 US
APPLICANTS Todd C. Parnell, Austin, TX;				
** CONTINUING DATA *****				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/13/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and Acknowledged <input checked="" type="checkbox"/>		STATE OR COUNTRY TX	SHEETS DRAWING 10	TOTAL CLAIMS 43
Examiner's Signature <i>[Signature]</i> Initials <i>[Initials]</i>		INDEPENDENT CLAIMS 9		
ADDRESS Rita M. Wisor Attorney for Applicant SKJERVEN MORRILL MacPHERSON LLP 25 Metro Drive, Suite 700 San Jose ,CA 95110-1349				
TITLE Hierarchical interface to attribute based database				
FILING FEE RECEIVED 1604	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	